# **Constraint-Based Synthesis**

With slides by Armando Solar-Lezama

#### Synthesis as Constraint Solving

Synthesis Condition:

$$\exists \phi \forall in \in E Q(in, \phi)$$
where  $E = \{x_1, x_2, ..., x_k\}$ 

#### Invention Pillar Question: How does Sketch work?



#### Semantics of expressions

e:= n | x |  $e_1 + e_2$  |  $e_1 > e_2$ c:= x := e |  $c_1$ ;  $c_2$  | if e then  $c_1$  else  $c_2$  | while e do c

#### What does an expression mean?

- An expression reads the state and produces a value
- The state is modeled as a map  $\sigma$  from vars to values
- $\mathcal{A}\llbracket \cdot \rrbracket : e \to \Sigma \to int$

Ex:

- $\mathcal{A}[\![x]\!] = \lambda \sigma. \sigma(x)$
- $\mathcal{A}\llbracket n \rrbracket = \lambda \sigma. n$
- $\mathcal{A}\llbracket e_1 + e_2 \rrbracket = \lambda \sigma . \mathcal{A}\llbracket e_1 \rrbracket \sigma + \mathcal{A}\llbracket e_2 \rrbracket \sigma$
- $\mathcal{A}\llbracket e_1 > e_2 \rrbracket = \lambda \sigma$ . if  $\mathcal{A}\llbracket e_1 \rrbracket \sigma > \mathcal{A}\llbracket e_2 \rrbracket \sigma$  then 1 else 0

## Semantics of commands

e:= n | x |  $e_1 + e_2$  |  $e_1 > e_2$ c:= x := e |  $c_1$ ;  $c_2$  | if e then  $c_1$  else  $c_2$  | while e do c

#### What does a command mean?

- A command modifies the state
- $\mathcal{C}\llbracket \cdot \rrbracket : c \to \Sigma \to \Sigma$

Ex:

- $\mathcal{C}[x \coloneqq e] = \lambda \sigma. \sigma[x \to (\mathcal{A}[e]\sigma)]$
- $\mathcal{C}\llbracket c_1; c_2 \rrbracket = \lambda \sigma. \mathcal{C}\llbracket c_2 \rrbracket (\mathcal{C}\llbracket c_1 \rrbracket \sigma)$
- $C[[if e \text{ then } c_1 \text{ else } c_2]] = \lambda \sigma. \text{ if } \mathcal{A}[[e]]\sigma = 1 \text{ then } (C[[c_1]]\sigma) \text{ else } (C[[c_2]]\sigma)$

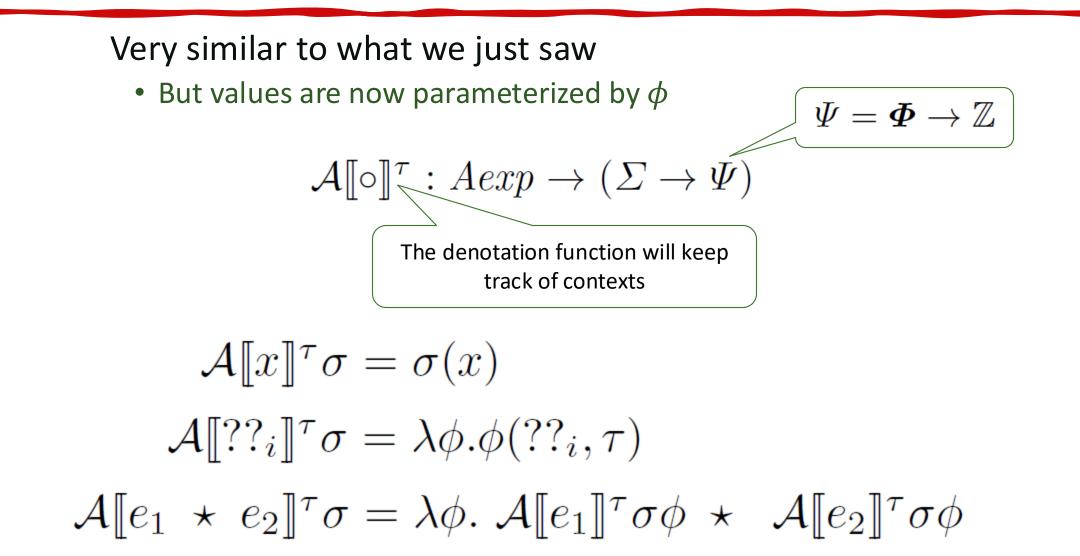
## What about loops?

e:= n | x |  $e_1 + e_2$  |  $e_1 > e_2$ c:= x := e |  $c_1$ ;  $c_2$  | if e then  $c_1$  else  $c_2$  | while e do c

Semantics of a while loop

- Let W = C [[while e do c]]
- *W* satisfies the following equation:  $W = \lambda \sigma$ . if  $\mathcal{A}[\![e]\!]$  then  $(W(\mathcal{C}[\![c]\!]\sigma))$  else  $\sigma$
- Equation can have many solutions
  - when loop doesn't terminate
- Rich theory for finding least fixed point solution
- We'll settle for a simpler strategy: unroll k times and then give up

#### Symbolic execution of sketches



Commands have two roles

- Modify the symbolic state
- Generate constraints

$$\mathcal{C}\llbracket \circ \rrbracket^{\tau}: Command \to \left(\Sigma \times \mathcal{P}(\Phi) \to \Sigma \times \mathcal{P}(\Phi)\right)$$
  
Constraints represent sets of valid  
 $\phi$  functions

Assignments and Assertion

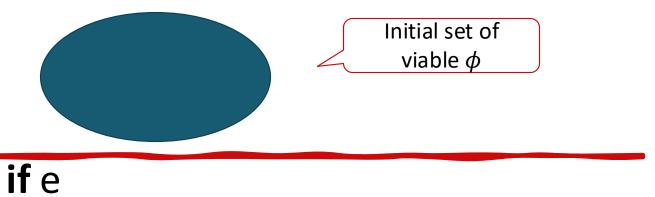
$$\mathcal{C}\llbracket x := e \rrbracket^{\tau} \langle \sigma, \Phi \rangle = \langle \sigma[x \mapsto \mathcal{A}\llbracket e \rrbracket^{\tau} \sigma], \Phi \rangle$$

 $\mathcal{C}[\![\text{assert } e]\!]^{\tau} \langle \sigma, \Phi \rangle = \langle \sigma, \{ \phi \in \Phi : \mathcal{A}[\![e]\!]^{\tau} \sigma \phi = 1 \} \rangle$ 

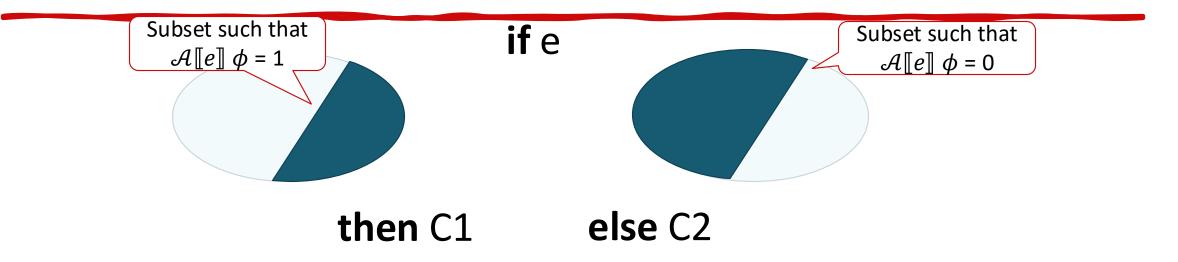
If statement

$$\mathcal{C}\llbracket$$
 if  $e$  then  $c_1$  else  $c_2 \rrbracket^{\tau} \langle \sigma, \Phi \rangle = \langle \sigma', \Phi' \rangle$ 

$$\Phi_{t} = \{ \phi \in \Phi : \mathcal{A}\llbracket e \rrbracket^{\tau} \sigma \phi = true \}$$
$$\Phi_{f} = \{ \phi \in \Phi : \mathcal{A}\llbracket e \rrbracket^{\tau} \sigma \phi = false \}$$
$$\langle \sigma_{1}, \Phi_{1} \rangle = \mathcal{C}\llbracket c_{1} \rrbracket^{\tau} \langle \sigma, \Phi_{t} \rangle$$
$$\langle \sigma_{2}, \Phi_{2} \rangle = \mathcal{C}\llbracket c_{2} \rrbracket^{\tau} \langle \sigma, \Phi_{f} \rangle$$
$$\Phi' = (\Phi_{1}) \cup (\Phi_{2})$$
$$\sigma' = \lambda x. \lambda \phi. \mathcal{A}\llbracket e \rrbracket^{\tau} \sigma \phi ? \sigma_{1} x \phi : \sigma_{2} x \phi$$

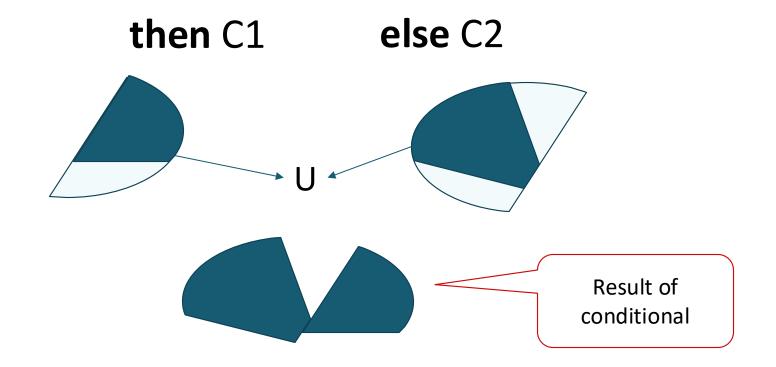


#### then C1 else C2



if e then C1 else C2 Subset that also passes all the assertions in C2

if e

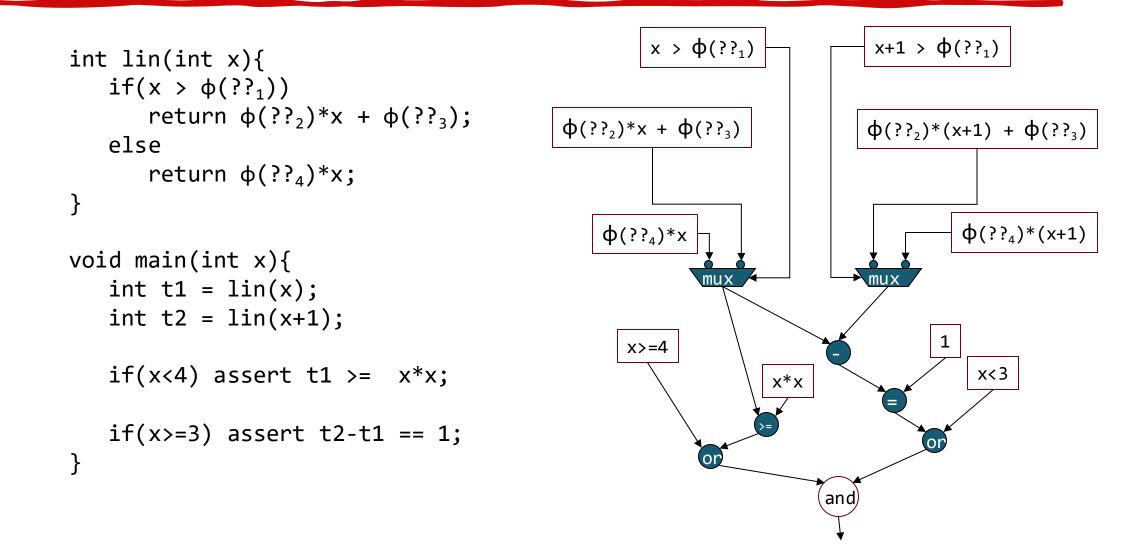


#### While loops

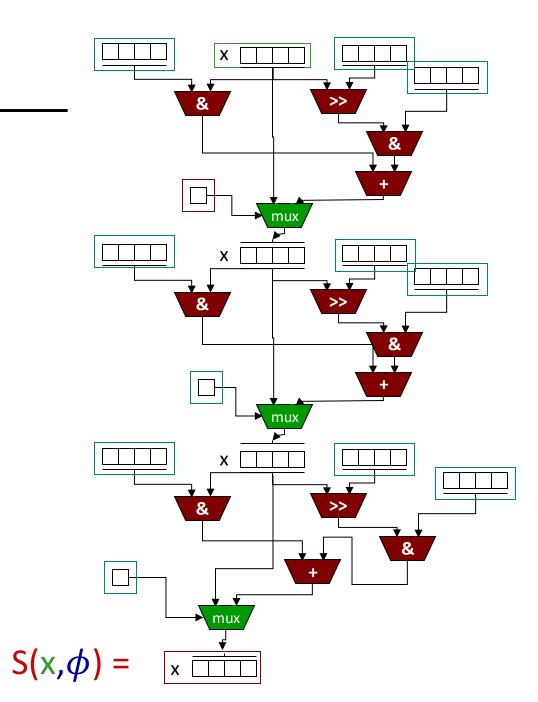
 $W(\langle \sigma, \Phi \rangle) = \mathcal{C}[\![ while \ e \ do \ c ]\!]^{\tau} \langle \sigma, \Phi \rangle = \langle \sigma', \Phi' \rangle$  $\Phi_t = \{ \phi \in \Phi : \mathcal{A}[\![e]\!]^\tau \sigma \phi = true \}$  $\Phi_f = \{ \phi \in \Phi : \mathcal{A}\llbracket e \rrbracket^\tau \sigma \phi = false \}$  $\langle \sigma_1, \Phi_1 \rangle = W(\mathcal{C}[c]^{\tau} \langle \sigma, \Phi_t \rangle)$  $\Phi' = (\Phi_1) \cup (\Phi_f)$  $\sigma' = \lambda x . \lambda \phi. \ \mathcal{A}[\![e]\!]^{\tau} \sigma \phi ? \sigma_1 x \phi : \sigma x \phi$ 

# **Building Constraints**

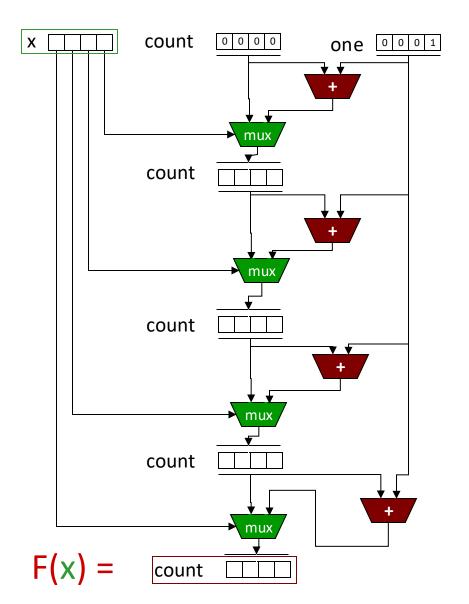
#### A sketch as a constraint system



#### Symbolic Execution

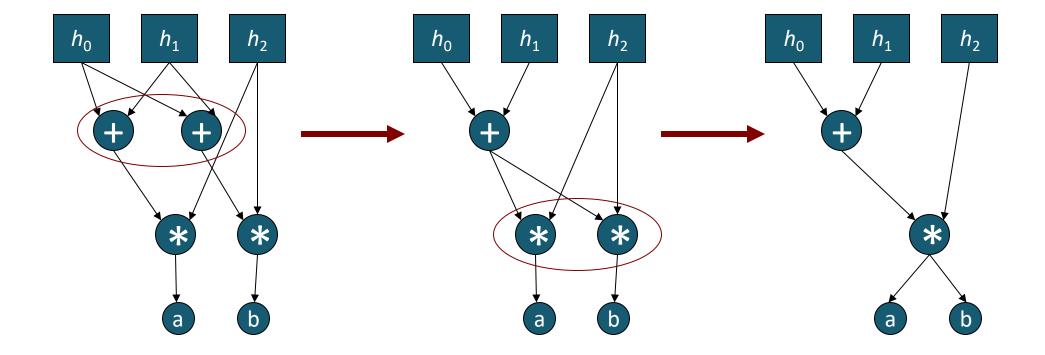


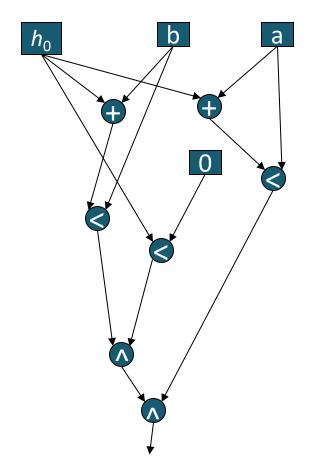
#### Ex : Population count. 0010 0110 $\rightarrow$ 3



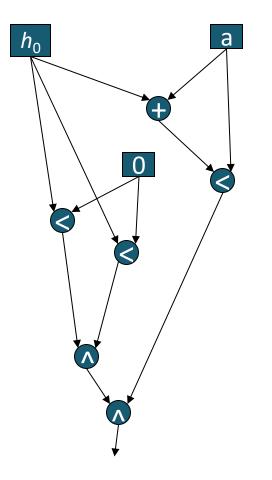
# Simplification

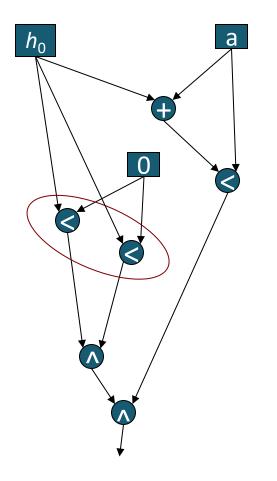
#### **Structural Hashing**

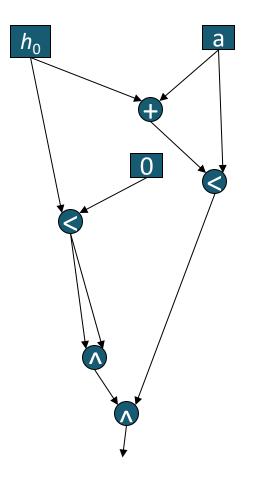




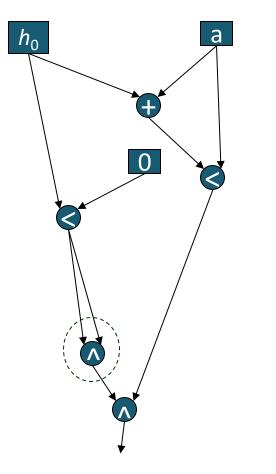
а b  $h_0$ ╋ 0



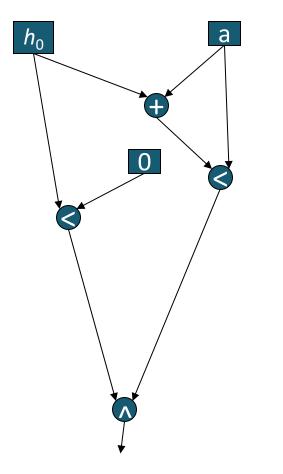




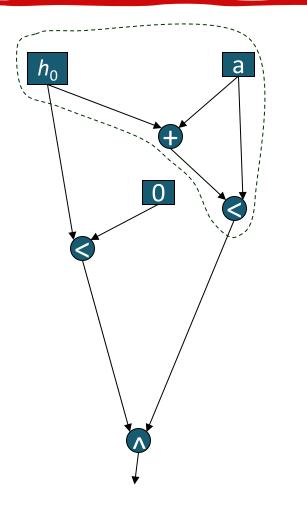
 $X + b < b \rightarrow X < 0$ 



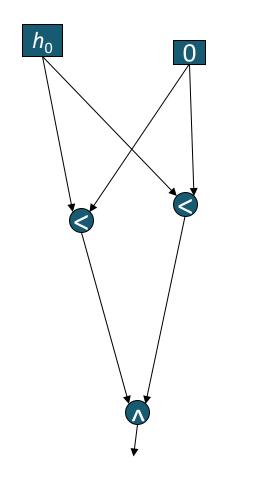
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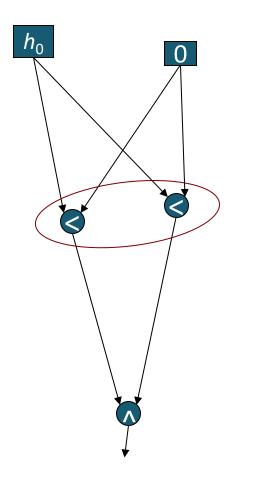
 $X + b < b \rightarrow X < 0$ 



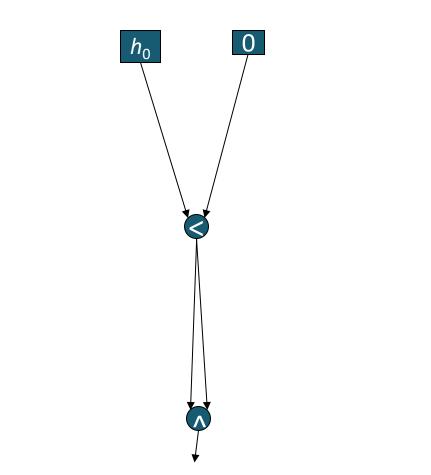
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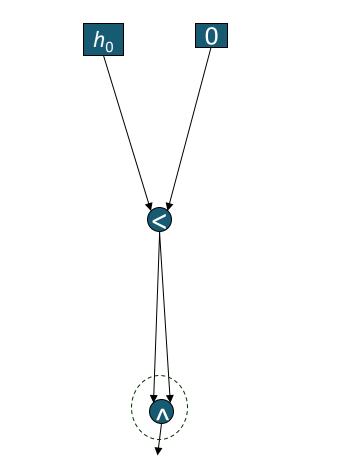
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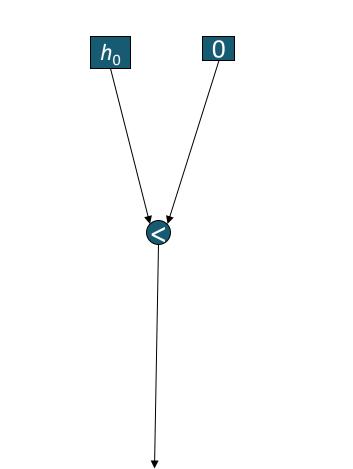
 $X + b < b \rightarrow X < 0$ 



 $X + b < b \rightarrow X < 0$ 



 $X + b < b \rightarrow X < 0$ 



# **Symmetries**

Multiple ways of representing the same problem

Expr := var\*const | Expr + Expr Expr := var\*const | var\*const + Expr

```
w*c1+(x*c2+(y*c3+z*c4))
```

- Grammar on the right has fewer symmetries
- Grammar on the left can produce all possible ways to parenthesize
- Can completely eliminate symmetries from the right by enforcing a variable ordering
  - Can't be done with a grammar, but it can with a generative model

```
Expr(vmin) := let v = var() in v*const (assert v > vmin)
| let v=var() in v*const + Expr(v) (assert v > vmin)
```

## **Symmetries**

Do symmetries matter?

• It depends

Some methods are very sensitive to symmetries

• E.g. symbolic search

Others are largely oblivious to them

• E.g. sampling

# How to solve the constraints?

#### How to solve the constraints?

In general, a Quantified Boolean Formula (QBF) Satisfiability problem:  $\exists \phi \in \{0,1\}^m \ \forall in \in \{0,1\}^n \ Q(in,\phi)$ 

- 2-QBF is  $\Sigma_2$ -complete
- Reduce to a sequence of SAT problems using the CEGIS loop (coming soon)

The SAT problem: How to check if a quantifier-free Boolean formula  $\alpha$  is a tautology (or  $\neg \alpha$  is satisfiable) ?

- Naïve algorithm: enumerate all possible models (exponentially many)
- The first known NP-complete problem (Cook 1971)
- At least as hard as *all* NP problems

# **CNF-SAT Solving**

Conjunctive Normal Form (CNF)

- $\bigwedge_{i=1}^{m}(\bigvee_{j=1}^{n}l_{i,j})$
- E.g.,  $(p_1 \lor p_2 \lor \neg p_3) \land (\neg p_1 \lor p_2 \lor p_3)$
- Every  $\bigvee_{j=1}^{n} l_{i,j}$  is called a clause/conjunct

Theorem: there is no polynomial blow-up translation from wff to CNF/DNF.

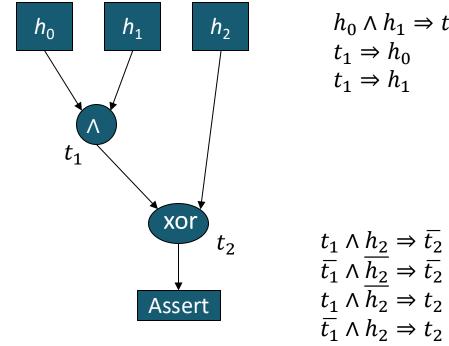
Theorem: SAT can be reduced to CNF-SAT in polynomial time.

• Idea: introduce a fresh variable for each subformula

#### Cook-Levin Theorem (1971): CNF-SAT is NP-complete.

• Proof: coming soon

#### Example



$$h_0 \wedge h_1 \Rightarrow t_1$$
  
 $h_1 \Rightarrow h_0$ 

#### **Operation to CNF**

• Sum (OR) of variables and their negation

• Equivalent to 
$$\bigwedge_{i \in X} l_i \Rightarrow l_j$$

#### **Resolution Algorithm**

Resolution: 
$$\frac{D \lor p \quad D' \lor \neg p}{D \lor D'}$$

Apply resolution:

- If  $D \lor p$  and  $D' \lor \neg p$  are clauses, add  $D \lor D'$  as a new clause
- Repeat until no more resolution can be done
- Resolution is *closed* if the empty clause is contained

• Return Unsatisfiable iff. Closed

#### Example

#### $(p \lor q) \land (\neg p \lor r) \land (\neg q \lor r) \land (\neg r)$

#### $\{\{p,q\},\{\neg p,r\},\{\neg q,r\},\{\neg r\}\}$

$\{p,q\}$	(1)
$\{\neg p, r\}$	(2)
$\{\neg q, r\}$	(3)
$\{\neg r\}$	(4)
$\{\neg p\}$	(5) (resolvent of 2 and 4)
$\{q\}$	(6) (resolvent of 1 and 5)
$\{r\}$	(7) (resolvent of 3 and 6)
{}	(8) (resolvent of 4 and 7)

## **DPLL Algorithm**

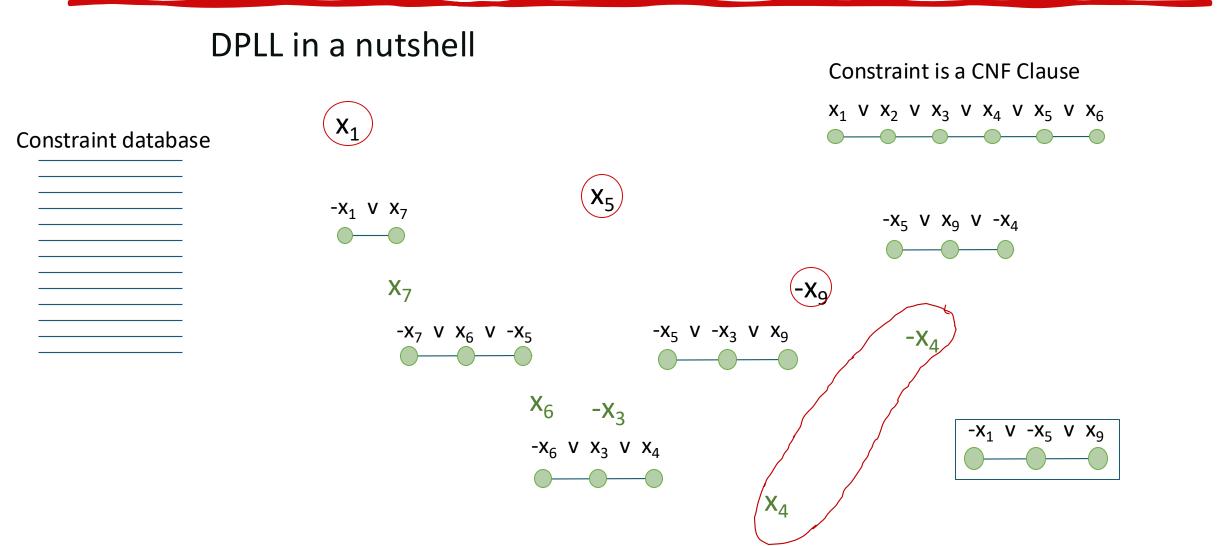
Backtracking based search

- Assign a value to a variable to simplify the CNF
- Stop if all variables are assigned
- Backtrack if unsatisfiable
- Variables are chosen heuristically

Most efficient SAT solving algorithm since 1960s

• Implementations: zChaff, Minisat, etc.

#### Example



#### What about Arithmetic?

1) Bit-blast

2) Unary encoding

3) SMT