

Formal Verification



How to check if a produced program meets the formal specification?

Testing/Typing are not sufficient

- Easy to argue that a given input will produce a given output (though the halting problem is already undecidable).
- Easy to argue that a property always holds at a single program point
- Also easy to argue that all constructs in the language will preserve some property (like when we proved type soundness).
- Much harder to prove general properties of the behavior of a program on all inputs.

Undecidability of Program Verification

Rice's Theorem (1951): Every *nontrivial* semantic property of recursively enumerable languages is *undecidable*.

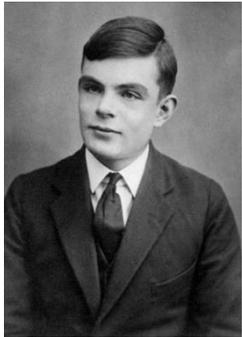
- Recursively enumerable languages are equivalent to Turing machines (and almost all languages you program).

Proof: Reduce from the halting problem of Turing machines.



Program Verification

1949



A. M. Turing

Friday, 24th June [1949]

1967



Robert W. Floyd

1979



DeMillo, Lipton and Perlis

Reports and Articles

Social Processes and Proofs of Theorems and Programs

Richard A. De Millo
Georgia Institute of Technology

Richard J. Lipton and Alan J. Perlis
Yale University

Robert W. Floyd

2009



Hoare, Misra,
Leavens, Shankar

The Verified Software Initiative: A Manifesto

C.A.R. HOARE

Microsoft Research

JAYDEV MISRA

The University of Texas at Austin

GARY T. LEAVENS

Iowa State University

and

NATARAJAN SHANKAR

SRI International Computer Science Laboratory

1. INTRODUCTION

We propose an ambitious and long-term research program toward the construction of error-free software systems. Our manifesto represents a consensus position that has emerged from a series of national and international meetings, workshops, and conferences held from 2004 to 2007. The research project, the Verified Software Initiative,

ASSIGNING MEANINGS TO PROGRAMS¹

Introduction. This paper attempts to provide an adequate basis for formal definitions of the meanings of programs in appropriately defined programming languages, in such a way that a rigorous standard is established

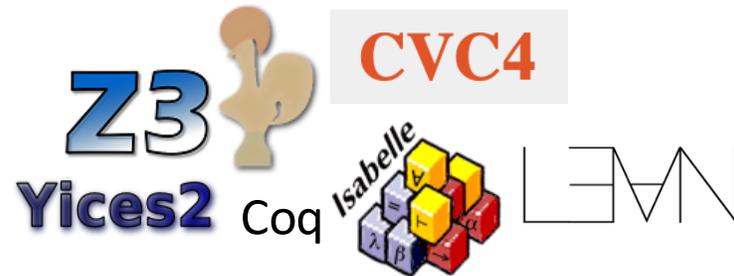
Checking a large routine by Dr A. Turing.

How can one check a routine in the sense of making sure that it is right?

In order that the man who checks may not have too difficult a task the programmer should make a number of definite assertions which can be checked individually, and

Success Stories

Infrastructure:



Verifiers:



Success Stories:



Axiomatic Semantics (AKA program logics)

A system for proving properties about programs

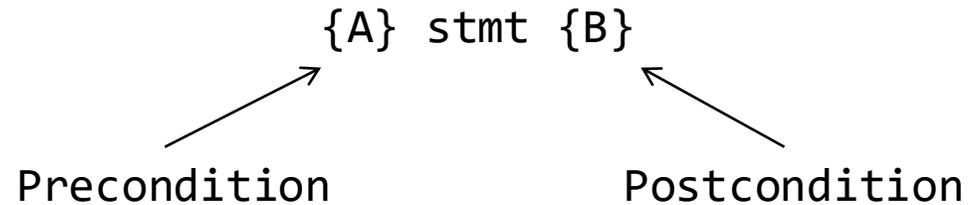
Key idea:

- We can define the semantics of a construct by describing its effect on **assertions** about the program state.

Two components

- A language for stating assertions (“the assertion logic”)
- Can be First-Order Logic (FOL), a specialized logic such as separation logic, or Higher-Order Logic (HOL), which can encode the others.
- Many specialized languages developed over the years:
 - Z, Larch, JML, Spec#
- Deductive rules (“the program logic”) for establishing the truth of such assertions

The Basics



Hoare triple

- If the program state *before* execution satisfies A , and the execution of *stmt terminates*, the program state *after* execution satisfies B
- This is a partial correctness assertion.
- We sometimes use the notation

$[A] \text{ stmt } [B]$

to denote a total correctness assertion
which means you also have to prove termination.

What do assertions mean?

The language of assertions:

- $A ::= \text{true} \mid \text{false} \mid e_1 = e_2 \mid e_1 \leq e_2 \mid A_1 \wedge A_2 \mid \neg A \mid \forall x. A$
- $e ::= 0 \mid 1 \mid \dots \mid x \mid y \mid \dots \mid e_1 + e_2 \mid e_1 \cdot e_2$

Notation $\sigma \models A$ means that the assertion holds on state σ .

- A is interpreted inductively over state σ as a FO structure.
- Ex. $\sigma \models A \wedge B$ iff. $\sigma \models A$ and $\sigma \models B$

Derivation Rules

Derivation rules for each language construct

$$\frac{}{\vdash \{A[x \rightarrow e]\}x := e \{A\}} \quad \frac{\vdash \{A \wedge b\}c_1 \{B\} \quad \vdash \{A \wedge \text{not } b\}c_2 \{B\}}{\vdash \{A\}\text{if } b \text{ then } c_1 \text{ else } c_2 \{B\}}$$
$$\frac{\vdash \{A \wedge b\}c \{A\}}{\vdash \{A\}\text{while } b \text{ do } c \{A \wedge \text{not } b\}} \quad \frac{\vdash \{A\}c_1 \{C\} \quad \vdash \{C\}c_2 \{B\}}{\vdash \{A\}c_1; c_2 \{B\}}$$

Can be combined with the rule of consequence

$$\frac{\vdash A' \Rightarrow A \quad \vdash \{A\}c \{B\} \quad \vdash B \Rightarrow B'}{\vdash \{A'\}c \{B'\}}$$

Example

The following program purports to compute the square of a given integer n (not necessarily positive).

```
int i, j;  
i := 1;  
j := 1;  
while (j != n) {  
    i := i + 2*j + 1;  
    j := j+1;  
}  
return i;
```

Example

```
{true}  
int i, j;  
i := 1;  
j := 1;  
while (j != n) {  
    i := i + 2*j + 1;  
    j := j+1;  
}  
return i;  
{i = n*n}
```

Example

```
{true}
int i, j;
{??}
i := 1;
{??}
j := 1;
{??}
while (j != n) {
    i := i + 2*j + 1;
    j := j+1;
}
{??}
return i;
{i = n*n}
```

Example

```
{true}
int i, j;
{true} //strongest postcondition
i := 1;
{i=1} //strongest postcondition
j := 1;
{i=1 ∧ j=1} //strongest postcondition
{??} //loop invariant
while (j != n) {
    i := i + 2*j + 1;
    j := j+1;
}
{i = n*n} //weakest precondition
return i;
{i = n*n}
```

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{i = j*j} //loop invariant
while (j != n) {
    {i = j*j ∧ j != n}
    {i + 2*j + 1 = (j+1)*(j+1)}
    i := i + 2*j + 1;
    {i = (j+1)*(j+1)}
    j := j+1;
    {i = j*j}
}
{i = n*n} //weakest postcondition
return i;
{i = n*n}
```

Soundness and Completeness

What does it mean for our derivation rules to be sound?

What does it mean for them to be complete?

So, are they complete?

$\{\text{true}\} x:=x \{p\}$

$\{\text{true}\} c \{\text{false}\}$

Relative Completeness in the sense of Cook (1974)

Expressible enough to express intermediate assertions, e.g., loop invariants

Termination

Total Correctness

$[A] \text{ stmt } [B]$

Hoare triple

- If A holds before stmt, stmt terminates and B will hold afterward.

Total Correctness

Definition: a well-ordered set is a set S with a total order $>$ such that every non-empty subset of S has a least element.

E.g., $(\mathbb{N}, >)$ is a w.o. set, $(\mathbb{Z}, >)$ is not

$(\mathbb{N}^2, >)$ where $(a, b) > (a', b')$ if $a > a'$, or $a = a'$ and $b > b'$

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$(\mathbb{N}^2, >)$ where $(a, b) > (a', b')$ if $a > a'$, or $a = a'$ and $b > b'$

Theorem: There is no infinite descending chain w.r.t. a well-ordered set.

Total Correctness

Termination:

1. find a ranking function $rank: ProgStates \rightarrow (S, >)$
2. find a set of cutpoints (program points) to cut the program
3. prove for any cutpoint pc , and any two program states P_1, P_2 , if (P_1, pc) reaches (P_2, pc) in an execution sequence, then $rank(P_1) > rank(P_2)$

Example

```
int i, j;  
i := 1;  
j := 1;  
while (j != n) {  
    i := i + 2*j + 1;  
    j := j+1;  
}  
return i;
```

Example

[n>0]

```
int i, j;
```

```
i := 1;
```

```
j := 1;
```

```
while (j != n) {
```

```
    i := i + 2*j + 1;
```

```
    j := j+1;
```

```
}
```

```
return i;
```

[true]

Example

[n>0]

```
int i, j;
```

```
i := 1;
```

```
j := 1;
```

```
while (j != n) {
```

```
    decreases (n-j)
```

```
    i := i + 2*j + 1;
```

```
    j := j+1;
```

```
}
```

```
return i;
```

[true]

Total Correctness

Example:

```
int i, j;  
i := 1;  
j := 1;  
while (j != n) {  
    i := i + 2*j + 1;  
    j := j+1;  
}  
return i;
```

Try Dafny!

Verification and synthesis put together

Formal Specification

Oracle-Guided Synthesis (OGIS)

Synthesizer

Verifier (not quite an oracle)

candidate

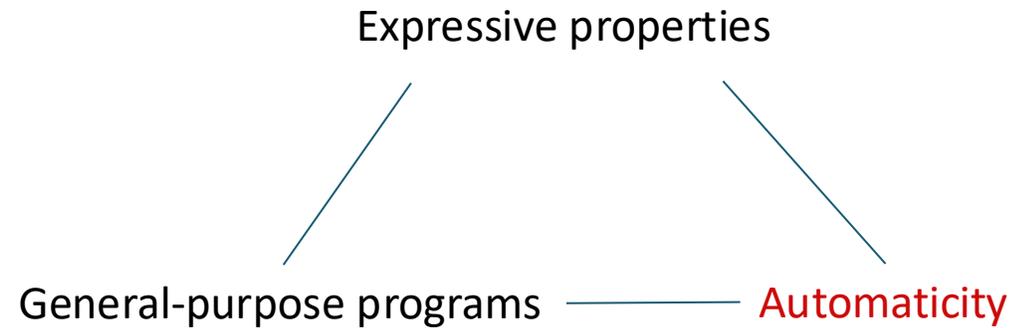
counterexample

inductive invariants
ranking functions
etc.

Provably-Correct Program



Impossible Trilemma



From the perspective of synthesis:

A synthesizer usually needs to verify many candidate programs

The verifier should serve as an oracle

automation and efficiency are most important

The goal is to synthesize program that can *be automatically verified*

Automated reasoning is possible in some domains!

Logical Reasoning for Verification

```
x=1;  
y=1;  
while (*) {  
  x=x+2;  
  y=y+1;  
}
```


$$\forall x, y: (x = 1 \wedge y = 1) \rightarrow x + y \geq 2$$
$$\forall x, y, x', y' (x + y \geq 2 \wedge x' = x + 2 \wedge y' = y + 2) \rightarrow x' + y' \geq 2$$

Q: is $x+y \geq 2$ always true?

Q: Are these formulae valid **in arithmetic**?

First-Order Logic

(or predicate logic)

Predicate

Syllogism

- Major premise: Every man is mortal
- Minor premise: Socrates is a man
- Conclusion: Socrates is mortal

Predicates:

- M for “is a man”: $M(\text{Socrates})$, $M(\text{Aristotle})$, $M(\text{Purdue})$, ...
- D for “is mortal”: $D(\text{Socrates})$, $D(\text{Aristotle})$, $D(\text{Purdue})$, ...

First-Order Logic

$$\forall x (M(x) \rightarrow D(x))$$

↑
quantifier
“for all”

↑
relation

Number theory:

$$\text{prime}(x) \equiv \neg \exists y, z (y > 1 \wedge z > 1 \wedge y \cdot z = x)$$

↑
quantifier
“exists”

↑
relation
($GT(y, 1)$)

↑
function

FOL: Syntax

A first-order language is a tuple (R, F, C, arity)

- R is a countable set of **relations**
- F is a countable set of **functions**
- C is a countable set of **constants**
- $\text{arity}: R \cup F \rightarrow \mathbb{N}^+$ is an arity function

We also assume a countably infinite set of variables Var

E.g., $L_{arith} = (<, +, \cdot, 0, 1, 2, \dots)$

$L_{group} = (-^1, \cdot, 1)$

FOL: Syntax

Term $t :: - c \mid f(t_1, \dots t_m) \mid x \quad (c \in C, f \in F, x \in Var, Arity(f) = m)$

Formula $\varphi, \psi :: - \perp \mid \top \mid t_1 = t_2 \mid r(t_1, \dots t_m)$

$\neg\varphi \mid \varphi \vee \psi \mid \exists x\psi \quad (t_1, t_2 \in C, r \in R, x \in Var, Arity(r) = m)$

Bounded variables: $\exists x(\dots x \dots) \equiv \exists y(\dots y \dots)$

$FV(\varphi)$: set of free variables in φ (not bounded by any quantifier)

Sentence : $FV(\varphi) = \emptyset$

Defined symbols : $\wedge, \forall, \rightarrow, \leftrightarrow$

FOL: Semantics

Let $L = (R, F, C, \text{arity})$ be a first-order language. An L -structure is a tuple (A, τ) :

- A is a universe
- τ is a function over $R \cup F \cup C$ s.t.
 - $\tau(r) \subseteq A^m$ for every $r \in R$
 - $\tau(f) : A^m \rightarrow A$ for every $f \in F$
 - $\tau(c) \in A$ for every $c \in C$

FOL: Semantics

Let $L = (R, F, C, \text{arity})$ be a first-order language, $\mathcal{A} = (A, \tau)$ be an L -structure, then τ can be extended inductively:

- $\tau(a) = a$ for any $a \in A$
- $\tau(f(t_1, \dots, t_m)) = \tau(f)(\tau(t_1), \dots, \tau(t_m))$ for any m -ary function f

$\mathcal{A} \models \varphi$ is defined inductively:

- $\mathcal{A} \models \top$ and $\mathcal{A} \not\models \perp$
- $\mathcal{A} \models t_1 = t_2$ if and only if $\tau(t_1) = \tau(t_2)$
- $\mathcal{A} \models r(t_1, \dots, t_m)$ if and only if $\tau(r)(\tau(t_1), \dots, \tau(t_m))$
- $\mathcal{A} \models \neg \varphi$ if and only if $\mathcal{A} \not\models \varphi$
- $\mathcal{A} \models \varphi \vee \psi$ if and only if $\mathcal{A} \models \varphi$ or $\mathcal{A} \models \psi$
- $\mathcal{A} \models \exists x \psi$ if and only if $\mathcal{A} \models \psi(a)$ for some $a \in A$

FOL: Models

Let Σ be a set of L -sentences, and \mathcal{A} be an L -structure.

\mathcal{A} is a **model** of Σ if $\mathcal{A} \models \sigma$ for each $\sigma \in \Sigma$, denoted as $\mathcal{A} \models \Sigma$

Σ is **satisfiable** if it has a model

φ (with free variables x_1, \dots, x_m) is a **logical consequence** of Σ if $\mathcal{A} \models \forall x_1, \dots, x_m \varphi(x_1, \dots, x_m)$ for every model \mathcal{A} of Σ , denoted $\Sigma \models \varphi$

- Special case $\Sigma = \emptyset: \models \varphi$ means φ is satisfied by all structures, i.e., φ is **valid**

How to check the satisfiability/validity of FOL?

FOL: Validity

Definition: A FOL sentence φ is **valid** (denoted as $\models \varphi$) if $\mathcal{A} \models \varphi$ for every L -structure \mathcal{A} .

- φ is **satisfiable** if and only if $\neg\varphi$ is not valid

How to check the satisfiability/validity of FOL?

Undecidability of FOL

Church's Theorem (1935): The validity of FOL is undecidable.

- Turing proved independently in 1936

Proof: Reduce from the halting problem of 2-Counter Machines.

Satisfiability Modulo Theories

First-Order Theories

Q: Which statements are true in arithmetic/set-theory/groups/fields?

A **theory** is a set of FOL sentences in a FO language

- Fix a language for arithmetic: $(\leq, +, \cdot, 0, 1)$ (why no $-$, $<$?)

How to define a theory?

- Fix a standard model: \mathbb{N} (or \mathbb{Z} ?)
- Peano Arithmetic: $PA = (\mathbb{N}, \leq, +, \cdot, 0, 1)$
- Theory of PA: $Th(PA) = \{\varphi \mid \varphi \text{ is a sentence in } (\leq, +, \cdot, 0, 1) \text{ and } \mathbb{N} \models \varphi\}$

Another way to define a theory

- Fix a set of axioms Σ , then $Th(\Sigma) = \{\varphi \mid \Sigma \vdash \varphi\}$

Natural numbers

Language

- $L_{arith} = (<, +, \cdot, 0, 1, 2, \dots)$
- Alternatively, also $(<, +, \cdot, 0, S)$ --- 1 can be $S(0)$, 2 is can be $S(S(0))$

Standard Model

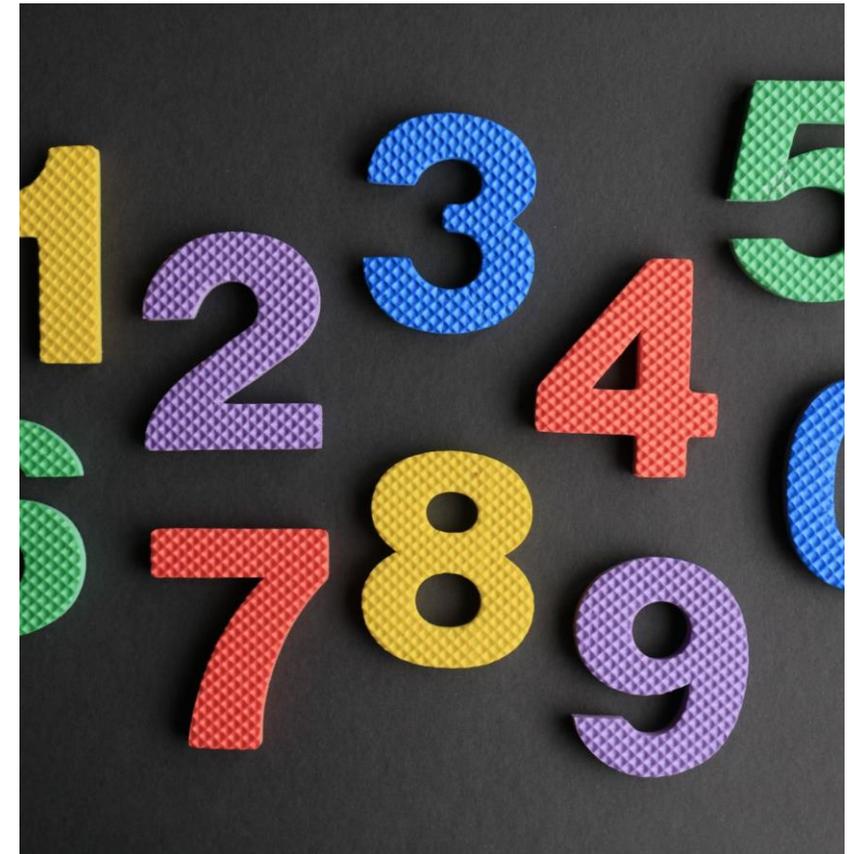
- \mathbb{N} as the universe with standard interpretation of $<, +, \cdot$
- Nonstandard structures exist!

Axioms

- $\forall x: \neg(x < 0)$
- $\forall x, y: x < y \vee x = y \vee y < x$
- ...

Propositions

- Addition is associative:
 - $\forall x, y, z: (x + y) + z = x + (y + z)$
- Prime number:
 - $\text{Prime}(x) \equiv \neg \exists y, z (1 < y \wedge 1 < z \wedge x = y \cdot z)$
- Twin prime conjecture:
 - $\forall x \exists y: x < y \wedge \text{Prime}(y) \wedge \text{Prime}(y + 2)$



Common Theories

- Presburger Arithmetic: $PrA = (\mathbb{N}, +, 0, 1)$
- Integers: $Int = (\mathbb{Z}, +, -, <, \dots, -1, 0, 1, \dots)$
- Reals: $Real = (\mathbb{R}, +, -, \cdot, 0, 1)$
- Rationals: $RA = (\mathbb{Q}, +, -, \cdot, 0, 1)$
- Arrays: $Arr = (AllArrays, read(\cdot, \cdot), write(\cdot, \cdot, \cdot))$
- Strings (many variants): $Str = (AllStrings, +, len, in_{re}, replaceAll, \dots)$

What Theories are Decidable?

Decidable theories

- $PrA = (\mathbb{N}, +, 0, 1)$: double exponential
- $Int = (\mathbb{Z}, +, -, <, \dots, -1, 0, 1, \dots)$: triple exponential
- $Real = (\mathbb{R}, +, -, \cdot, 0, 1)$: double exponential
- $RA = (\mathbb{Q}, +, -, \cdot, 0, 1)$: double exponential (P if quantifier-free)
- Quantifier-free $Arr = (AllArrays, read(\cdot, \cdot), write(\cdot, \cdot, \cdot))$: NP-complete
- Quantifier-free Equality (plain FOL): NP-complete
- Quantifier-free String Equations: PSPACE-complete

Undecidable theories

- $PA = (\mathbb{N}, \leq, +, \cdot, 0, 1)$ (Gödel's Incompleteness Theorem, 1931)
- $(\mathbb{Z}, +, \cdot, 1, -1, 0)$ (Tarski-Mostowski, 1949)
- $Arr = (AllArrays, read(\cdot, \cdot), write(\cdot, \cdot, \cdot))$
- Theory of Rings RI (Mal'cev, 1961)
- Set Theory ZF (Tarski, 1949)
- Theory of String Equations (Quine, 1946)

Deciding Rational Arithmetic

Definition: A set of formulae Σ admits **quantifier elimination** if for any formula $\exists \bar{x} \varphi(\bar{x}, \bar{y}) \in \Sigma$, there is a quantifier free $\varphi'(\bar{y}) \in \Sigma$ such that $\exists \bar{x} \varphi(\bar{x}, \bar{y}) \equiv \varphi'(\bar{y})$.

Theorem: *RA* admits quantifier elimination.

Rational Arithmetic QE

Step 1: Normalization

- Convert φ to Negation Normal Form (NNF)

Step 2: Remove Negation

- $\neg(s > t) \Rightarrow t > s \vee t = s$
- $\neg(s = t) \Rightarrow s > t \vee t > s$

Step 3: Solve for x in $\exists x\varphi$

- $3x > 7y \Rightarrow x > \frac{7}{3}y$
- Collect all terms t_i compared to x , e.g., $x > t_1, t_2 > x, x = t_3, \dots$
- Instantiate x in $\exists x\varphi$ with all possible $\frac{t_i+t_j}{2}$, ∞ and $-\infty$

Example

- $\exists x(2x = y)$
- $\exists x(3x + 1 = 10 \wedge 7x - 6 > 7)$

Solving QF Rational Arithmetic

Solve satisfiability of $\exists \bar{x} \varphi(\bar{x})$

- Each conjunction is $\bigwedge_j a_{1,j}x_1 + \dots + a_{k,j}x_k > c_j$
- Just linear programming!
- LP is solvable in **(weakly) polynomial time**

Theorem: $\text{Th}(RA)$ is decidable in double exponential time.

Theory of Equality

$(=, f, g, h, \dots, p, q, r, \dots)$

- $=$ is interpreted (reflexivity, symmetry, transitivity, congruence)
- Other functions/predicates are **uninterpreted**
- Congruence: $(t_1 = u_1 \wedge \dots \wedge t_n = u_n) \rightarrow f(t_1, \dots, t_n) = f(u_1, \dots, u_n)$
 $(t_1 = u_1 \wedge \dots \wedge t_n = u_n) \rightarrow p(t_1, \dots, t_n) \leftrightarrow p(u_1, \dots, u_n)$

Theorem: The theory of Equality is undecidable.

- Proof: Encode an undecidable theory (e.g., Peano arithmetic).

Theory of Equality

Theorem: The theory of equality is QF-decidable and NP-complete.

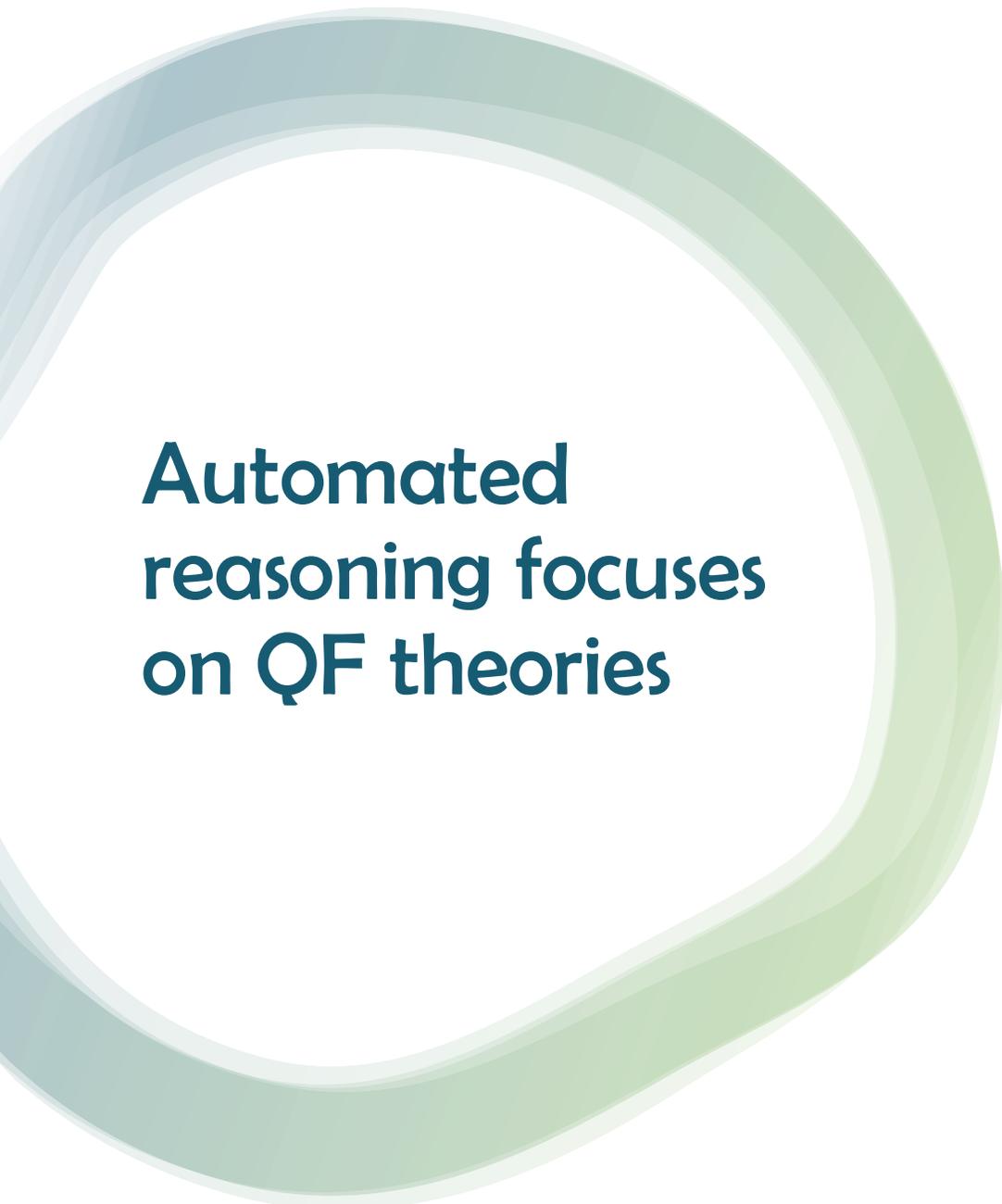
Idea: build the set of sub-terms and guess the equality between them.

Example: $\varphi \equiv f(a, b) = a \wedge f(f(a, b), b) \neq a$

$ST = \{a, b, f(a, b), f(f(a, b), b)\}$

Guess equivalence classes, e.g., $\{a, f(a, b)\}$, $\{b\}$, $\{f(f(a, b), b)\}$

Check congruence and φ



Automated reasoning focuses on QF theories

- Many theories are only QF-decidable
- Quantified theories are usually too expensive, even if they are decidable
- QF theories are *compositional* (under some conditions)

How to combine decidable theories?

How to combine decidable theories?

$$L_1 = (R_1, F_1, C_1)$$

Th_1 is a decidable theory over L_1

D_1 is a decision procedure for Th_1

$$L_2 = (R_2, F_2, C_2)$$

Th_2 is a decidable theory over L_2

D_2 is a decision procedure for Th_2


$$L_1 \cup L_2 = (R_1 \cup R_2, F_1 \cup F_2, C_1 \cup C_2)$$

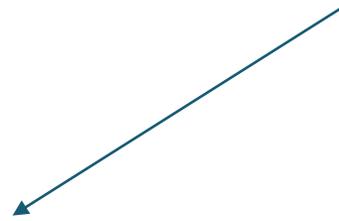
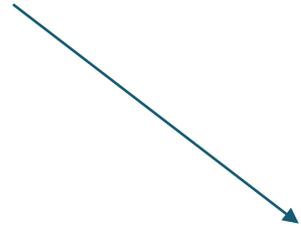
$$Th_1 \cup Th_2 = \{\varphi \mid Th_1 \cup Th_2 \vdash \varphi\}$$

Can we build a decision procedure for $Th_1 \cup Th_2$ from D_1 and D_2 ?

Example

PrA is decidable

Arr is QF-decidable



Is $a[x + x] > 2 \wedge a[4] = 1 \wedge x > 1 \wedge x < 3$ satisfiable in $PrA \cup Arr$?

The combined theory is **undecidable** in general!

Nelson-Oppen Combination

Theorem (1979): If

- Th_1 is a QF-decidable theory over L_1
- Th_2 is a QF-decidable theory over L_2
- $L_1 \cap L_2 = \emptyset$
- Both Th_1 and Th_2 are **stably infinite** (intuitively, both theories have infinite models)

then $Th_1 \cup Th_2$ is **QF-decidable!**

Combinable theories: $\{PrA, Int, Real, RA\} + \text{Equality} + Arr$

Nelson-Oppen Combination

Step 1: Purification

- Split an $L_1 \cup L_2$ -formula φ into an L_1 -formula φ_1 and an L_2 -formula φ_2 such that φ and $\varphi_1 \wedge \varphi_2$ are equisatisfiable
- Example: $f(x + g(y)) < g(a) + f(b)$



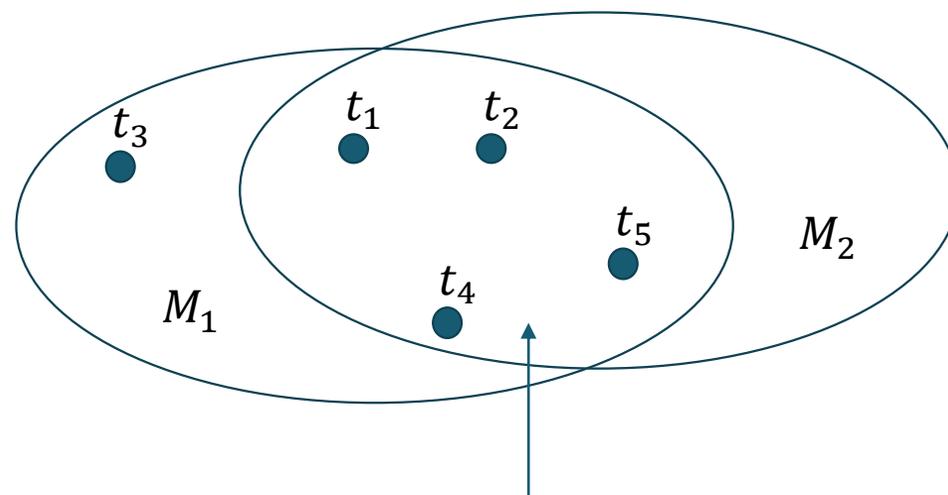
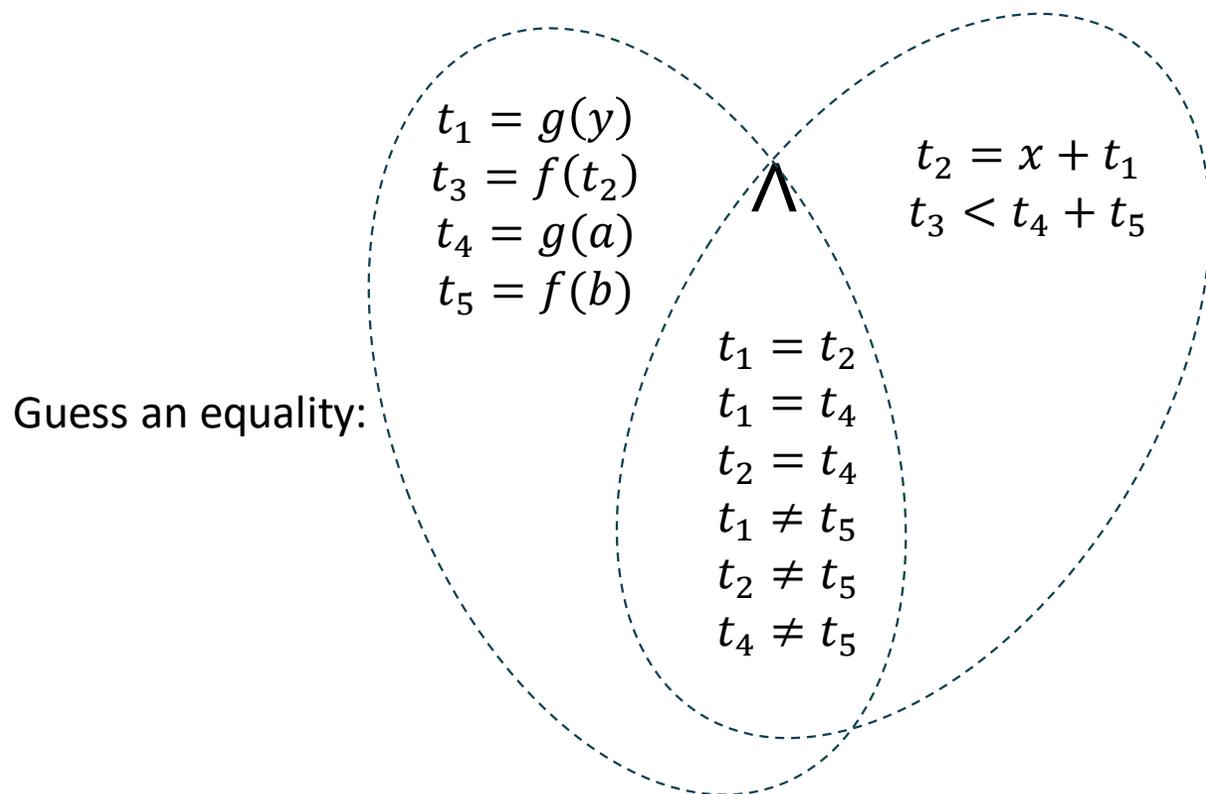
$$\begin{aligned}t_1 &= g(y) \\t_3 &= f(t_2) \\t_4 &= g(a) \\t_5 &= f(b)\end{aligned}$$

\wedge

$$\begin{aligned}t_2 &= x + t_1 \\t_3 &< t_4 + t_5\end{aligned}$$

Nelson-Oppen Combination

Step 2: Guess and Check



M_1 and M_2 should agree on the equality between shared variables!

Solve the two theories separately!

(if both theories are in NP, so is the combined procedure)

Satisfiability Modulo Theories

Nelson-Oppen Method + DPLL Procedure (solving propositional constraints using backtracking)

Standard Interchange Format

Supports arithmetic, bit-vectors, uninterpreted functions, arrays, data types, ...

A plethora of well-engineered solvers (Z3, CVC5, etc.)

Try [Z3-play](#)

Example

Is $a[x + x] > 2 \wedge a[4] = 1 \wedge x > 1 \wedge x < 3$ satisfiable in $PrA \cup Arr$?

```
(declare-fun x () Int)
(declare-const a (Array Int Int))
(assert (> (select a (+ x x)) 2))
(assert (= (select a 4) 1))
(assert (> x 1))
(assert (< x 3))
(check-sat)
(get-model)
(exit)
unsat
(error "line 8 column 10: model is not available")
```

Example

Is $a[x + x] > 2 \wedge a[4] = 1 \wedge x > 1 \wedge x < 3$ satisfiable in *Real* \cup *Arr* ?

```
(declare-fun x () Real)
(declare-const a (Array Real Real))
(assert (> (select a (+ x x)) 2))
(assert (= (select a 4) 1))
(assert (> x 1))
(assert (< x 3))
(check-sat)
(get-model)
(exit)
sat
(model
  (define-fun a () (Array Real Real)
    (store ((as const (Array Real Real)) 1.0) 3.0 (/ 5.0 2.0)))
  (define-fun x () Real
    (/ 3.0 2.0))
)
```